

CRIO Council-led Data Management and Sharing Plan Working Group

- WG comprised of members from CRIO Council and the research community (schools, library, OGC, OIT, etc.)
- Identify preparations needed for campus to be ready,
- WG activities page, updates, and templates are available on the Research website:

https://research.cuanschutz.edu/crio/projects/nih-data-management-and-sharing-policy

- Campus resources and templates for the DMSP
- NIH and community resources and training materials
- Grant submission and RPPR strategies and materials
- List of data repositories for data deposition
- Open to all! Email <u>crio@cuanschutz.edu</u> if you would like to help out!

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Join the meeting at: #DMSPatCU2

First poll

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What department or unit are you in?

① Start presenting to display the poll results on this slide.

New NIH Data Management and Sharing policy

Beginning in January 2023, the <u>Final NIH Policy for Data Management and Sharing</u> (<u>NOT-OD-21-013</u>) will require researchers to include a Data Management and Sharing Plan (DMSP) in all funding applications.

Key Features:

- <u>Applies to</u> all research funded by NIH that results in the generation of scientific data; it <u>does not apply to</u> activities that do not generate scientific data, e.g. training, infrastructure development, etc.
- The NIH recommendation is <u>2 pages long</u>
- The DMSP is <u>not a scored component</u> of proposals
- The DMSP can contain justified <u>uncertainties</u>, and can be <u>updated</u> annually.
- Annual Notice of Award is <u>dependent on RPPR reporting on compliance</u> to the awardee's DMSP and other NIH data sharing policies.
- Grant budgets <u>may include costs</u> for preserving and sharing data, including personnel and storage costs.
 These funds must be spent during the funding period.
- Scientific data should be made accessible as soon as possible, and no later than the time of an associated publication, or the end of performance period, whichever comes first.

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Second poll

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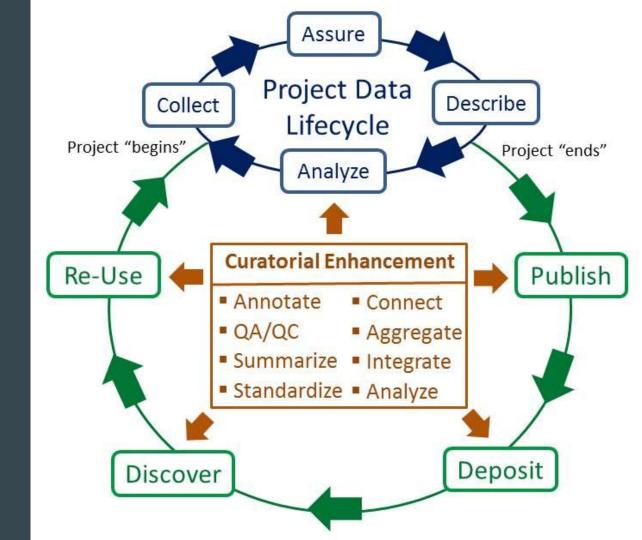


What are your concerns about the new DMSP?

① Start presenting to display the poll results on this slide.

Writing the DMSP:

Think about the whole data lifecycle



NIH DMSP Template

NIH has a draft that covers the following categories:

- Element 1: Data type (type, amount, which data will be preserved/shared, metadata and documentation)
- Element 2: Tools, software, and code
- Element 3: Data standards
- Element 4: Data Preservation, access, timelines for sharing and preservation, and repository selection
- Element 5: Factors affecting access, distribution, or reuse of scientific data, controlled access and privacy considerations
- Element 6: Institutional compliance, monitoring, and roles

Reasons not to share

What are acceptable reasons to not share?

Legal

Explicit federal, state, local, or Tribal law, regulation, or policy prohibits disclosure

Ethical

Concerns around privacy or safety of research participants

Pre-existing consent policies or agreements prohibit sharing of participantderived material **Technical**

Digitization of datasets is impractical

These reasons can be explained in multiple elements (largely 4-6) depending on the specifics

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NIH DMSP Template Element 3: Data Standards

List the standards that will be used for sharing the data and metadata.

State whether or not there are data standards for your field that are applicable to your project.

Typical data standards include:

- Metadata schemas
- Standard Terminologies (Controlled Vocabulary and Ontologies)
 Content/ Encoding Standards
- Common Data Elements
- Identifiers (PIDs)

Goldilocks approach to standards



Logand	Types of actors				
Legend Indirectly relevant for Directly relevant for Lesson	Designers & creators	Providers & mainteners	Reusers & referencers		
Lesson 1. Credit any derived content using its original identifier		0			
Lesson 2. Help local identifiers travel well: document prefix and patterns	•	•	•		
Lesson 3. Opt for simple, durable web resolution	•	0	-		
Lesson 4. Avoid embedding meaning, or relying on it for uniqueness	•	•	-		
Lesson 5. Design new identifiers for diverse uses by others	•	•	-		
Lesson 6. Implement a version-management policy	•	•	-		
Lesson 7. Do not reassign or delete identifiers	•	•	-		
Lesson 8. Make URIs clear and findable	•	•	-		
Lesson 9. Document the identifiers you issue and use	•	•	•		
Lesson 10. Reference and display responsibly	•	•	•		

bit.ly/id21c-plosbio

Searching for standards

https://fairsharing.org/



1646 Standards

Terminology Artifact	831
Model/Format	529
Reporting Guideline	241
Identifier Schema	31

Terminologies/ontologies - knowledge representations and controlled lists of terms. Most have persistent identifiers, synonyms and some have advanced relationships and text descriptions

Model/Format - data schemas, markup languages, structured tab and other file formats

Reporting guidelines - minimum checklists, reporting criteria, metadata standards, and rubrics

Identifier schemas - registry numbers, chemical identifier schemes, geocodes, and code systems

Ontologies/Terminologies

https://obofoundry.org



Open Biological and Biomedical Ontology Foundry

Community development of interoperable ontologies for the biological sciences

Learn about OBO best practices and community resources

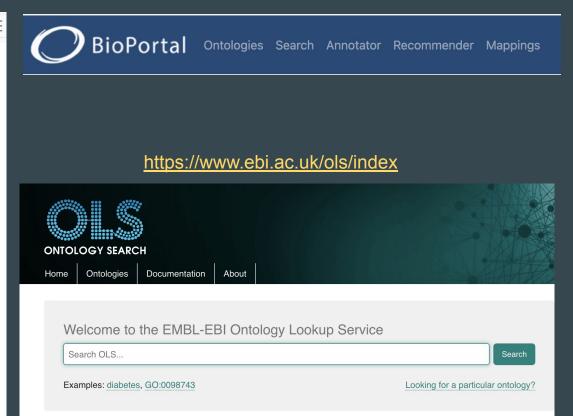
- OBO Foundry principles
- OBO tutorial
- · Ontology browsers, tutorials, and tools

Participate

- Code of Conduct
- Join the OBO mailing list and the OBO Community Slack workspace
- OBO Foundry Operations and Working Groups
- · Submit bug reports or suggestions for improvement via GitHub
- Submit your ontology to be considered for inclusion in the OBO Foundry

OBO Library: find, use, and contribute to community ontologies

https://bioportal.bioontology.org/



Example Models/formats

Protocol Registration Data Element Definitions

This standard describes the definitions for protocol registration data elements submitted to ClinicalTrials.gov for interventional studies (clinical trials) and observational studies.

<u>The Observational Medical Outcomes Partnership Common Data Model</u> (OMOP CDM)

The The Observational Medical Outcomes Partnership Common Data Model (OMOP CDM) allows for the systematic analysis of disparate observational databases for standardizing the format and content of the observational data, standardized applications (tools and methods) can be applied across databases implementing a common data model format (data model) and/or a common representation data model using variable terminologies, vocabularies, and coding schemes.

Example reporting guidelines

Consolidated criteria for reporting qualitative research (COREQ)

A reporting guideline composed of 32 criteria for qualitative research interviews and focus groups.

MIAPE: Gel Electrophoresis (MIAPE-GE)

This module identifies guidelines for the minimum information to report about the use of n-dimensional gel electrophoresis in a proteomics experiment.

Example identifier schemes

Open mHealth

Open Standard for Mobile Health Data. This standard is composed of a number of related schemes. Widely-used clinical measures were identified and clinical experts were consulted to identify the most important distinctions for the schemas' clinical use.

Common Metadata Elements for Cataloging Biomedical Datasets

The Common Metadata Elements for Cataloging Biomedical Datasets outlines a proposed set of core, minimal metadata elements that can be used to describe biomedical datasets, such as those resulting from research funded by the National Institutes of Health. It can inform efforts to better catalog or index such data to improve discoverability.

NIH DMSP Template Element 3: Data Standards example

State what common data standards will be applied to the scientific data and associated metadata to enable interoperability of datasets and resources and provide the name(s) of the data standards that will be applied and describe how these data standards will be applied to the scientific data generated by the research proposed in this project. If applicable, indicate that no consensus standards exist.

Example 1: Formal standards for 256 channels EEGs data have not yet been widely adopted. However, our data and other materials will be structured and described according to best practices. Data will be stored in common and open formats, such as CSV and JPEG files for our 256 channels EEGs and fMRI images. Information needed to make use of this data will be recorded in data dictionaries that will be accessible to the research team and will subsequently be shared alongside the final datasets.

Example 2: All genotype-phenotype data and gene function data will be annotated using ontologies such as the Human Phenotype Ontology and the Gene Ontology. Curation rules will be validated using inter-annotator consistency measures higher than 90% across 3 curators for at least a sample of 15 data entries for each annotation type. Finally, we will adhere to standards such as the GA4GH Phenopackets and the GO GAF format for distribution.

NIH DMSP Template Element 4: Data Preservation & Repositories

Provide details and timelines for sharing and preserving data for long term usability.

- Name the repository(ies) where data will be archived:
 - If a particular metadata standard is required, list in the standards section.
 - A specific NIH repository may be required in the funding opportunity announcement.
- Specify which type of unique identifier is used by the repository (DOI, handle, ID number, accession number) (Note- an identifier is not required at time of DMS plan submission).
- Revisit your data list from section 1 and state when the data will be made available (portions of the data may be released at different times). Timelines required by the policy are:
 - Data will be made available when the work is published or the award/support period ends (whichever comes first); OR
 - o Data will be made available earlier.
- State the minimum number of years data will be available, based on repository policies.

Identifying a data repository

NIH has much documentation for selecting a repository to sustainably host your data:

- https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-016.html
- https://www.nlm.nih.gov/NIHbmic/nih_data_sharing_repositories.html
 - Open NIH-supported <u>domain-specific repositories</u> that house data of a specific type or related to a specific discipline;
 - Other NIH-supported <u>domain-specific resources</u>, including repositories and knowledge bases, that have limitations on submitting and/or accessing data; and
 - Generalist repositories that house data regardless of type, format, content, or subject matter.

National resources for storing and sharing data

NIH domain-specific repositories:

NIH) Trans-NIH BioMedical Informatics Coordinating Committee (BMIC

Home / BMIC Home / NIH Data Sharing Repositories

Open Domain-Specific Data Sharing Repositories

This table lists NIH-supported domain-specific data repositories that make data accessible for reuse and are open for both submitting and accessing data. Submission is typically limited to data of a certain type or related to a certain discipline. The table provides links to information about submitting data to and accessing data from the listed repositories. Repositories in this list have current NIH funding, sustained support, open data submission and access, and open time frame for data deposit, based on information provided by the repository about funding and data availability. This non-exhaustive list is also available in a downloadable Excel version.

Are we missing a domain-specific data sharing repository that should be included in this list? Do you have comments or feedback on this list or the website? Contact us.

ICO	Repository Name	Repository Description	Data Submission Policy	Access to Data
Common Fund	Metabolomics Workbench (MetWB)	The Metabolomics Program's Data Repository and Coordinating Center (DRCC), housed at the San Diego Supercomputer Center (SDSC), University of California, San Diego, has developed the Metabolomics Workbench. MetWB will serve as a national and international repository for metabolomics data and metadata and will provide analysis tools and access to metabolite standards, protocols, tutorials, training, and	How to submit data to MetWB	How to access MetWB data
Common Fund	Stimulating Peripheral Activity to Relieve Conditions Portal (SPARC)	more. The SPARC Portal provides interactive access to a growing collection of data, maps, and computational studies that focus on the role of the autonomic nervous system in controlling organ function. These resources are made available to the public with the intent of advancing bioelectronic medicine towards more precise treatment of	How to submit data to SPARC	How to access SPARC data



Comparison of generalist repositories: http://doi.org/10.5281/zenodo.3946720

Other repositories at https://www.re3data.org/



Institutional resources for storing and sharing data

Which service would you like to explore?: □ Compute Crunch data in a high-performance environment □ Share Collaborate and exchange data with colleagues within the University of Colorado system and with other universities and entities. □ Storage Store, protect, archive and backup data on the Internet or on campus servers using approved systems. □ Analytics Gain knowledge and insights from data through reporting, visualization, digital discovery, and statistical analysis strategies. □ Collect & Access Gather, organize, standardize, validate, extract. transform and load data. □ Lab Management Standardize, document and secure research laboratory protocols, workflow and data.

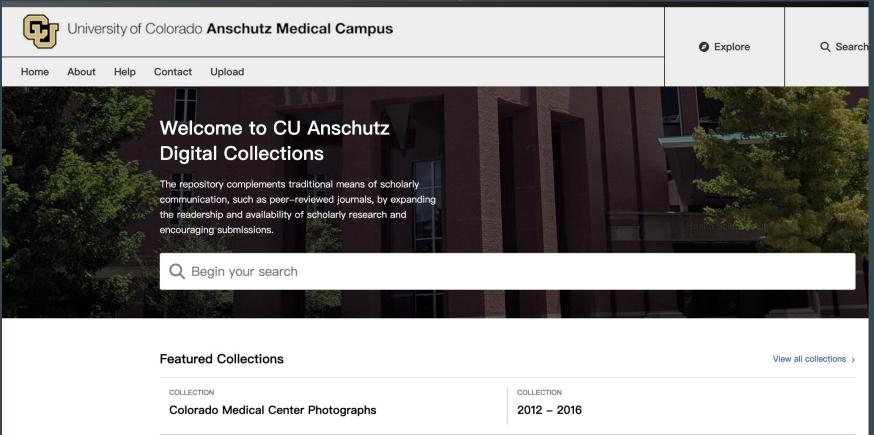


https://som.cuanschutz.edu/researc hresources

Campus resource comparison

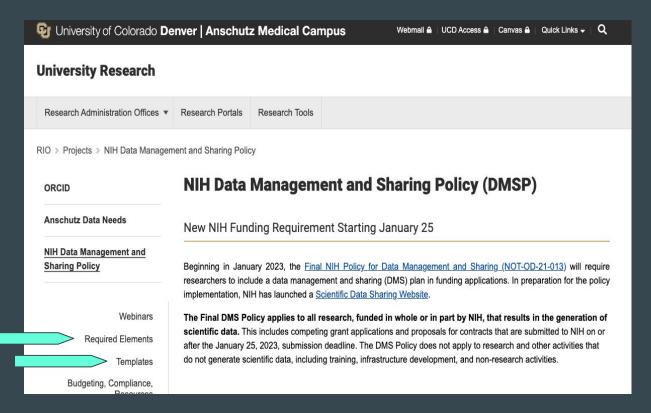
														Cost	
Service	Lo	cation	Data	a Classific	ation	Security Data Tier				Colla	(yearly)				
Description	On Premises	Cloud	Highly Confidential	Confidential	Public	HIPAA - Complian t	NIST 800-171	GDPR	Active	Compute	Archive	Backup	Campus/ Affiliates	External	
Dell Isilon	x		х	Х	Х	х			х			Х	х		\$300/TB
O365/Microsoft OneDrive		x	x	X	x	x			X			X	×	X	5TB/No Charge
Alpine	х			Х	X					х					No Charge
Petalibrary	х			Х	х						Х	х		х	\$50/TB
SLCE	x	Х	X	Х	х	х	х			Х					Varies
Egnyte		х	Х	Х	х	х						x		х	\$150/user
REDCap (CU)	х		Х	Х	х	Х			х		Х		х		No Charge
OnCore EDC		Х	Х			х			х		X		х		No Charge
Eureka (Compass)		X	Х	х	×	х	Х	Х	х	х	х	х	х		Online cost estimator
Virtual Server Hosting	Х		Х	Х	х	х			х	Х			х		Online cost estimator
Scientific Device Attached Storage	Х			х	Х				х	х	Х	Х	Х		Cost associated with device

CU Anschutz Digital Collections repository for sharing data



https://digitalcollections.cuanschutz.edu/

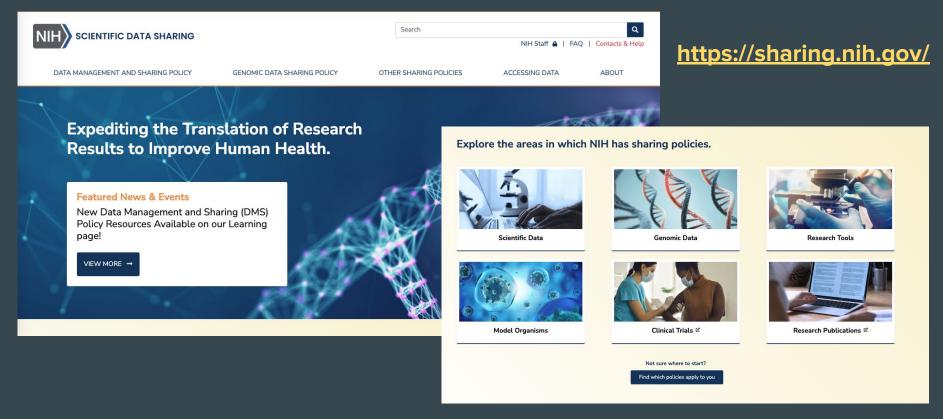
Find more information at the CU-AMC RIO Website



- √ Webinars
- ✓ Examples and templates
- ✓ Data Management best practices
- √ Repository selection
- √ Budgeting information

https://research.cuanschutz.edu/rio/projects/nih-data-management-and-sharing-policy

Find more information at NIH (linked from CU-AMC site)



https://research.cuanschutz.edu/rio/projects/nih-data-management-and-sharing-policy

Steps being taken to ready our campus

- Best practices in selecting public data repositories for data dissemination, either generalist or specific data types, such as those on the <u>NIH website</u>
- A library of templates and examples for different data types and institutional language blurbs to put in the specific sections of the DMSP
- An inventory of campus locations where data can be managed
 - Cost structures to support large and small data management needs
 - Budget documentation for infrastructure and personnel
- Compliance monitoring and support for grant applications and RPPR compliance declaration
- Concierge help desk to support researchers and pre-award staff to write quality DMSPs
- New researcher navigational resources

Coming soon: a new front door for Anschutz Research

To help researchers navigate all things research data and IT





Research Concierge Portal





Q Search Resources











Find Health Research Resources and Support

To browse content, select the circle on the left that best fits your current project goals. You can also search content or request a consult by using buttons in upper right.

Centralized ticketing & concierge services to support researchers' data management and sharing needs

Entering Slido

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Third poll

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What would you like to see from Campus to help you in preparing for the new DMSP and sharing your data?

① Start presenting to display the poll results on this slide.

DMSP checklist: https://osf.io/awypt

DMSP Working Group Members

College of Nursing

Teri Hernandez

Library

Nina McHale

Hannah Pollard

Office of Grants & Contracts

Amy Gannon

Thomas Keith Lii

Garrett Steed

RIO

Steven Andrews

Carlos Goncalves

RIO + Health Data Compass

Ian M Brooks

School of Pharmacy

Heather Anderson

School of Public Health

Katerina Kechris

School of Medicine

Christine Childs

James Costello

Kaitlin A Gil

Jennifer Kemp

Tinalyn Kupfer

Amy Nickson

Sara Rotz

Jana Smilanich-Rose



Connect via email: crio@cuanschutz.edu

CRIO Council Members

Melissa Haendel Chief Research Informatics Officer

Alison Lakin Associate Vice Chancellor for Regulatory Compliance

Bruce Dye Director Dental School

Dallas Martin Associate Counsel in the CU Innovations Office

Heather Anderson Associate Professor School of Pharmacy

Jameel Mallory Program Director, Office of Diversity, Equity, Inclusion

James Costello Associate Professor SOM

Sara Deakyne Davies Director of Research Informatics and Data Science, CHC

Teri Hernandez Professor and Associate Dean for Research

Tom Campbell Professor, Medicine-Infectious Disease

Katerina Kechris Associate Director of Data Science

Laura Morris Associate Vice Chancellor and Chief Information Officer

Michael Miller Director of Information Services

Tom Yager Director CCTSI

Additional Questions?



RIO | Research Informatics Office

